



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Gawalkiewicz, Jerzy *et al.*

Serial No: N/A 10/686,601 Art Unit: N/A

Filed: October 17, 2003 Examiner: N/A

Subject: Light Source for Use with a Light Guide, and a Lamp Mounting Arrangement

THE COMMISSIONER OF PATENTS AND TRADEMARKS
P.O. Box 1450
Alexandria, VA 22313-1450
United States of America

INFORMATION DISCLOSURE STATEMENT
UNDER 37 CFR 1.97(b)

Sir:

To comply with applicants' duty of disclosure under 37 CFR 1.56, Form PTO-1449, listing documents known to applicant, is submitted herewith.

- ☒ Copies of the listed documents are enclosed.
☐ This is a continuing application and copies of the documents were submitted in respect of the parent application No.

Relevance of information not in English - 37 CFR 1.98(a)(3)

- ☒ To comply with 37 CFR 1.98(a)(3), an English language abstract is appended to Swiss patent No. 675776 (document #20)
☒ To comply with 37 CFR 1.98(a)(3), an English language abstract is appended to Japanese patent No. 2000098246 (document #21)
☐ Document # discloses
☐ The relevance of is discussed in the specification.


The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner and be made of record in the present application and that an initialled copy of form PTO-1449 be returned in accordance with MPEP Sec. 609.

The Commissioner is hereby authorized to charge any fee required to Deposit Account No. 20-0771.

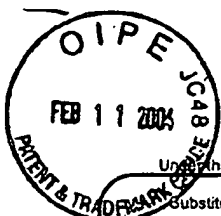
Respectfully submitted

DATE: February 5, 2004


THOMAS ADAMS
Reg. No. 31078

P.O. Box 11100, Station H
Ottawa, Ontario
Canada. K2H 7T8
Phone: (613) 254 9111

Docket No. AP941US



PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10-686601
Filing Date	October 17, 2003
First Named Inventor	Gawalkiewicz, Jerzy
Art Unit	
Examiner Name	
Attorney Docket Number	AP941US

Sheet 1 of 2

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	1	US- 4,505,555	03-19-1985	Piller et al.	
	2	US- 5,400,176	03-21-1995	Dreessen et al.	
	3	US- 4,998,810	03-12-1991	Sander et al.	
	4	US- 1,537,228	05-12-1925	Gargan	
	5	US- 4,986,622	01-22-1991	Martinez	
	6	US- 5,017,140	05-21-1991	Ascher	
	7	US- 5,099,399	03-24-1992	Miller et al.	
	8	US- 5,295,052	03-15-1994	Chin et al.	
	9	US- 5,653,519	08-05-1997	Dobbs	
	10	US- 5,664,864	09-09-1997	Kuth	
	11	US- 5,761,356	06-02-1998	Li	
	12	US- 5,838,860	11-17-1998	Kingstone et al.	
	13	US- 5,961,203	10-05-1999	Schuda	
	14	US- 6,027,237	02-22-2000	Riser et al.	
	15	US- 6,200,012 B1	03-13-2001	Ouyang	
	16	US- 6,201,915 B1	03-13-2001	Rizkin et al.	
	17	US- 6,217,205 B1	04-17-2001	Ward	
	18	US- 6,382,824 B1	05-07-2002	Prasad et al.	
	19	US- 6,422,730 B1	07-23-2002	Koren et al.	

FOREIGN PATENT DOCUMENTS

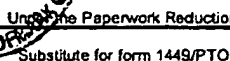
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	† ⁴
		Country Code ³ -Number ² -Kind Code ³ (if known)				
	20	CH 675776	10-31-1990	Ulrich et al.		
	21	JP 2000098246	04-07-2000	Koji		

Examiner Signature	Date Considered
-----------------------	--------------------

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

(Use as many sheets as necessary)

Sheet	2	of	2
-------	---	----	---

Application Number	10-686601
Filing Date	October 17, 2003
First Named Inventor	Gawalkiewicz, Jerzy
Art Unit	
Examiner Name	
Attorney Docket Number	AP941US

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.